



<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application No.	09/823,484
		Filing Date	March 30, 2001
		First Named Inventor	Jay H. Connelly
		Art Unit	2611
		Examiner Name	Grant, Christopher C.
Total Number of Pages in This Submission	12	Attorney Docket Number	42390P10858

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Return Receipt Postcard</div>
Remarks		
**IDS refs: 22 Foreign Patents and 35 Other Art Documents		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joseph Lutz, Reg. No. 43,765 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	December 02 2004

CERTIFICATE OF MAILING/TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Typed or printed name	Marilyn Bass
Signature	
Date	12-02-04



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	09/823,484
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Examiner Name	Grant, Christopher C.
Art Unit	2611
Attorney Docket No.	42390P10858

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims  - 20 =  X  =   
Independent Claims  3 =  X  =   
Multiple Dependent  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple Dependent claim, if not paid	
1204	88	2204	44	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1404	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Joseph Lutz	Registration No. (Attorney/Agent)	43,765	Telephone	(310) 207-3800
Signature		Date	12-02-04		



Docket No.: 42390P10858

2611

Jfe

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JAY H. CONNELLY

Application No.: 09/823,484

Filed: March 30, 2001

For: **METHOD AND APPARATUS FOR A  
HYBRID CONTENT ON DEMAND  
BROADCAST SYSTEM**

Art Group: 2611

Examiner: Grant, Christopher C.

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

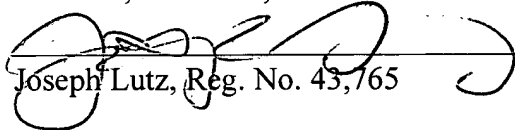
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Dec. 02, 2004

  
Joseph Lutz, Reg. No. 43,765

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
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Marilyn Bass

12-02-04  
Date



PTO/SB/08A(10-01)  
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US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

<b>Application Number</b>	09/823,484
<b>Filing Date</b>	March 30, 2001
<b>First Named Inventor</b>	Jay H. Connelly
<b>Group Art Unit</b>	2611
<b>Examiner Name</b>	Grant, Christopher C.

Sheet 1 of 7

Attorney Docket No: 42P10858

## **US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-20010012299A 1	08/09/2001	Dahlen, Robert L.			12/31/1998
	US-20010013127A 1	08/09/2001	Tomita, Yasumasa , et al.			04/11/1997
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	US-4,602,279	07/22/1986	Freeman, Michael J.	358		03/21/1984
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	US-5,410,344	04/25/1995	Graves, Gordon T., et al.			09/22/1993
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	US-5,801,753	09/01/1998	Eyer, Mark K., et al.			08/11/1995

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



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<b>Examiner Name</b>	Grant, Christopher C.

Sheet 2 of 7

Attorney Docket No: 42P10858

	US-5,867,799	02/02/1999	Lang, Andrew K., et al.			04/04/1996
	US-5,920,700	07/06/1999	Gordon, Yvette , et al.			09/06/1996
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	US-6,144,376	11/07/2000	Connelly, Jay			11/15/1996

EXAMINER

DATE CONSIDERED

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	<i>Complete if Known</i>	
	<b>Application Number</b>	09/823,484
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	<b>Group Art Unit</b>	2611
	<b>Examiner Name</b>	Grant, Christopher C.
Sheet 3 of 7	Attorney Docket No: 42P10858	

	US-6,148,005	11/14/2000	Paul, Sanjoy , et al.		10/09/1997
	US-6,160,989	12/12/2000	Hendricks, John S., et al.		10/23/1996
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	US-6,317,881	11/13/2001	Shah-Nazaroff, Anthony A., et al.		11/13/2001
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	US-6,424,625	07/23/2002	Larsson, Peter , et al.		10/28/1998
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	US-6,490,722	12/03/2002	Barton, James M., et al.		10/20/1999
	US-6,513,069	01/28/2003	Abato, Michael R., et al.		09/15/1999
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	US-6,557,042	04/29/2003	He, Li-Wei , et al.		03/19/1999
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	US-6,571,389	05/27/2003	Spyker, Andrew W., et al.		04/27/1999

EXAMINER

DATE CONSIDERED



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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<b>Examiner Name</b>	Grant, Christopher C.

Sheet 4 of 7

Attorney Docket No: 42P10858

	US-6,574,518	06/03/2003	Lounsberry, Brian D., et al.			11/29/1999
	US-6,578,199	06/10/2003	Tsou, I-Wen, et al.			11/12/1999
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	US-6,678,890	01/13/2004	Cai, Kaili			03/08/2000

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	EP-0902569 A1	03/17/1999	Shur, David Hilton, et al.			
	EP-1028551	08/16/2000	White, K. Gail, et al.			
	EP-1089201	04/04/2001	Duda, Carl R., et al.			
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	WO-02/103940	12/27/2002	Connelly, Jay			
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	WO-02/48863	06/20/2002	Hallford, Jason			
	WO-03/028381	04/03/2003	Jutzi, Curtis			
	WO-03/030540	04/10/2003	Jutzi, Curtis, et al.			
	WO-03/061245	07/24/2003	Pendakur, Ramesh			
	WO-97/26729	07/24/1997	Robinson, Gary B.			
	WO-99/44159	09/02/1999	Jaye, Daniel			
	WO-99/65237	12/16/1999	Ismail, Labeeb K., et al.			

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached





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Sheet 5 of 7

Attorney Docket No: 42P10858

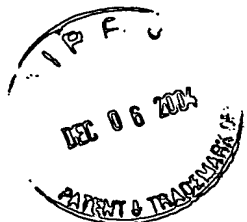
## **OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Client Infrastructure for Internet-Based Data Services for Digital Television: Enabling a New Class of DTV Services", Intel Architecture Labs, (2000),1-10	
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	Application Number	09/823,484
	Filing Date	March 30, 2001
	First Named Inventor	Jay H. Connelly
	Group Art Unit	2611
	Examiner Name	Grant, Christopher C.
Sheet 6 of 7		Attorney Docket No: 42P10858

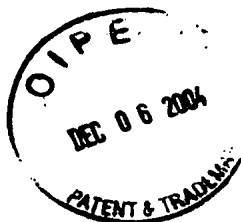
OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

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Sheet 7 of 7

Attorney Docket No: 42P10858

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Systems, Los Angeles, CA XP000603484,(05/15/1995),182-189	

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